

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTTORNEY'S DOCKET NUMBER 2710-73568/DSD U.S. APALICATION ID. 4f known see 31 CFR 1.5)

CONCERNING A SUBMISSIO	Not Yet Known										
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE June 2, 2003	PRIORITY DATE CLAIMED June 14, 2002									
TT/JP03/06967 June 2, 2003 June 14, 2002  ITLE OF INVENTION											
MASKING MATERIAL											
APPLICANT(S) FOR DO/EO/US OGAWA, Masanori and ITO, K	Kuninori										
Applicant herewith submits to the United Sta	ates Designated/Elected Office (DO/EC	O/US) the following items and other information:									
1. X This is a FIRST submission of items co	onceming a submission under 35 U.S.C. 37	1.									
2. This is a SECOND or SUBSEQUENT s	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.										
3. This is an express request to begin nat (5), (6), (9) and (21) indicated below.	ional examination procedures (35 U.S.C. 37	71(f)). The submission must include items									
4. X The US has been elected (Article 31).											
5. X A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
a. X is attached hereto (required	a. X is attached hereto (required only if not communicated by the International Bureau).										
b. x has been communicated by	b. X has been communicated by the International Bureau.										
c. is not required, as the appl	c. is not required, as the application was filed in the United States Receiving Office (RO/US).										
6. An English language translation of the	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).										
a. is attached hereto.	a. X is attached hereto.										
b. has been previously subm	b. has been previously submitted under 35 U.S.C. 154(d)(4).										
7. Amendments to the claims of the Inte	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))										
a. x are attached hereto (requ	a. are attached hereto (required only if not communicated by the International Bureau).										
b. X have been communicated	by the International Bureau.	·									
c. have not been made; how	c. have not been made; however, the time limit for making such amendments has NOT expired.										
d. have not been made and	will not be made.										
8. An English language translation of to	he amendments to the claims under PCT A	rticle 19 (35 U.S.C. 371(c)(3)).									
9. X An oath or declaration of the invento	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).										
An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).										
Items 11 to 20 below concern document(	s) or information included:										
11. An Information Disclosure Statemen	t under 37 CFR 1.97 and 1.98.										
12. X An assignment document for recording	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.										
13. A preliminary amendment.	A preliminary amendment.										
14. An Application Data Sheet under 37	An Application Data Sheet under 37 CFR 1.76.										
15. A substitute specification.	A substitute specification.										
16. A power of attorney and/or change of	A power of attorney and/or change of address letter.										
17. A computer-readable form of the sec	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.										
18. A second copy of the published Inter	A second copy of the published International Application under 35 U.S.C. 154(d)(4).										
19. A second copy of the English langua	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).										
20 X Other items or information: Pleas	Other items or information: Please see attached page 3.										

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

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U.S. APPLICAT	ION NO. (if known, see 3	7 CFR 1.5)	5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER					
Not Yet K	Yet Known a = 4 0 = 1. PCT/JP03/06967			2710/73568/DSD						
Not Yet Known p 4 0 7 4 5 PCT/JP03/06967  21. The following less are spontited 7 4 5						· ·				
x a) Basic national fee\$300.00						\$				
b) Examination fee\$200.00					\$					
						\$				
TOTAL OF ABOVE CALCULATIONS = \$1000.00						<sup>\$</sup> 1,	000.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence										
listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets Extra sheets Number of each additional 50 or fraction RATE				RATE	00000					
		thereof	thereof (round up to a whole number)			0000				
- 100 =	/50 =		•		x \$250.00	\$				
Curchage of \$1	20.00 for furnishing the o	ath or declar	ration later than 30 months fr	om the	earliest		·			
	date (37 CFR 1.492(e)).	atti or decia	adon later than 60 months in			\$				
CLAIMS	NUMBER FII	.ED	NUMBER EXTRA		RATE	\$				
Total claims	7	- 20 =	0	х	\$50.00	\$	0.00			
Independent cla	ims 1	- 3 =	0	×	\$200.00	\$	0.00			
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	plicable)		+	\$360.00	\$	0.00	•		
			TOTAL OF ABOVE				00.00			
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced					<b>\$</b> 5	00.00				
by ½.					\$ 5	00.00	· ·			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest										
claimed priority date (37 CFR 1.492(f)).					\$ 0.00					
TOTAL NATIONAL FEE =					\$ 500.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$	40.00				
by an appropriat	te cover sneet (37 CFR 3	.20, 3.31). #	· · · · · · · · · · · · · · · · · · ·		NCLOSED -	\$ 540.00				
TOTAL FEES ENCLOSED =						Amoun		T		
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
	RRESPONDENCE TO:				1		1			
Donald S. Dowden						WS.	Donal	·		
Cooper & Dunham LLP SIGNATURE										
	1185 Avenue of the Americas  New York, New York 10036  Donald S. Dowden							<u> </u>		
	(010) 278 0/00									
					ION NUMBER					
REGISTRAT							IOIA MOMBER			

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

OGAWA, Masanori and ITO, Kuninori

Serial No.

Not Yet Known

(PCT/JP2003/06967)

Filed

Herewith

(PCT filed June 2, 2003)

For

MASKING MATERIAL

Group

Not Yet Known

Examiner

Not Yet Known

1185 Avenue of the Americas New York, New York 10036

(212) 278-0400 December 9, 2004

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## List of Other Items of Information

- 1. Express Mail Certificate of Mailing with Label No. EE474776702US
- 2. A PCT request form filed with the JP Receiving Office on June 2, 2003
- 3. A Notification of receipt of record copy (form PCT/IB301;
- 4. A PCT notification concerning submission or transmittal of priority document (form PCT/IB304);
- 5. The first page of International Application as published on December 24, 2003 under International Publication No. WO 03/106046 A1 including the International Search Report;
- 6. A Notice informing the applicant of the communication of the international application to the designated office (form PCT/IB308);
- 7. Information concerning elected offices notified of their election (form PCT/IB/332);
- 8. An international Preliminary Examination Report in Japanese (form PCT/IPEA/409);
- An English translation of specification including claims, drawings and abstract as originally filed;
- 10. An English translation of a Reply;
- 11. An English translation of a Amendment;
- 12. A Declaration and power of attorney (executed);
- 13. An assignment (executed);
- 14. Check in the amount of \$540.00; and
- 15. Return Postcard.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

OGAWA, Masanori and ITO, Kuninori

Serial No.

Not Yet Known

(PCT/JP2003/06967)

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Group

Not Yet Known

Examiner

Not Yet Known

1185 Avenue of the Americas New York, New York 10036 (212) 278-0400

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#### EXPRESS MAIL CERTIFICATE OF MAILING FOR ABOVE-IDENTIFIED APPLICATION

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450; National Phase II of PCT application, including Transmittal letter under 35 USC§371 (in duplicate); Express Mail Certificate of Mailing with Label No. EE474776702US; Preliminary Amendment; Copy of first page of published international application WO 03/106046 A1 with search report; A PCT request form filed with JP Receiving Office on June 2, 2003; A Notification of receipt of record copy (form PCT/IB301); A PCT notification concerning submission or transmittal of priority document (form PCT/IB/304); The first page of International Application as published on December 24, 2003 under Intern. Pub. No. WO 03/106046 A1 including the Intern. Search Report; A notice informing the applicant of the communication of the international appl. To the designated offices (form PCT/IB308); Information concerning elected offices notified of their election (form PCT/IB/332); An international Preliminary Examination Report in Japanese (form PCT/IPEA/409); An English translation of specification including claims, drawings and abstract as originally filed; An English translation of a Reply; An English Translation of an Amendment; A Declaration and power of attorney (executed); Form PTO 1595 and assignment (executed); Check in the amount of \$540.00; and return Post Card. Janel Rlabb

Printed Name:

Respectfully submitted,

Donald S. Dowden Registration No. 20,701 Attorney for Applicant

10/518745



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